



Dep & ReP Room  
307

Attorney's Docket No. 9381.2

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Ronald W. Lodholz**  
U.S. Serial No.: **10/644,745**  
Filing Date: **August 25, 2003**  
For: **Gemstone Mount Assemblies, Jewelry Pieces and Methods for Forming the Same**

Group: Unknown  
Examiner: Unknown

September 4, 2003

Commissioner for Patents  
Washington, DC 20231

US PATENT & TRADEMARK  
OFFICE

**REQUEST FOR REFUND OF FEE**  
**UNDER 37 C.F.R. §1.26 AND 37 C.F.R. §1.28(a)**

Sir:

Applicant respectfully submits this Request for Refund of Fee pursuant to 37 C.F.R. §1.26 and 37 C.F.R. §1.28(a) in the amount of \$720.00. Applicants submit that the above-referenced-application was filed on August 25, 2003, and was accompanied by payment in full for a large entity. Applicants hereby assert small entity status under 37 C.F.R. § 1.27. Thus, the fee paid of \$1440.00 was in excess of the \$720.00 fee required to be paid by Applicant.

As Applicant has properly asserted small entity status and has requested a refund within three (3) months of the date of the timely payment of the full fee, Applicant respectfully requests a refund in the amount of \$720.00 (the difference in the full fee and the fee for a small entity).

Adjustment date: 09/16/2003 EEKUBAYI  
08/28/2003 HLE333 00000001 10644745  
01 FLS1001 -730.00 DP  
02 FC1201 -504.00 DP

Respectfully submitted,

David D. Beatty  
Registration No. 37,081

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on September 4, 2003.

Lindsey D. Hall, CP

09/16/2003 EEKUBAYI 00000001 10644745

Refund Reg#  
09/16/2003 EEKUBAYI 0000133672 ME

01 FC1201 375.00 DP  
02 FC1201 252.00 DP

CHECK Refund total: \$627.00

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

06/27/2003 MBERHE 00000029 10603039

01 FC:1001	-750.00 OP
02 FC:1202	-126.00 OP
03 FC:1201	-168.00 OP

Adjustment date: 09/16/2003 EEKUBAY1  
06/27/2003 MBERHE 00000029 10603039  
01 FC:1001 -750.00 OP  
02 FC:1202 -126.00 OP  
03 FC:1201 -168.00 OP

09/16/2003 EEKUBAY1 00000005 10603039

01 FC:2001	375.00 OP
02 FC:2201	64.00 OP
03 FC:2202	63.00 OP

Refund Ref: \_\_\_\_\_  
09/16/2003 EEKUBAY1 0000130876 *ME*

CHECK Refund Total: \$522.00

PTO-1556  
(5/87)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dep/re' Room  
PATENTS  
CUSTOMER NO. 29052  
ATTY. DOCKET NO.: 17625.0045  
307

In re Application of:

)  
Tim C. Lieuwen, et al.  
)

)  
Serial No. 10/603,039  
)

)  
Filed: June 24, 2003  
)

For: Systems & Methods for Detection of  
Blowout Precursors in Combustors  
)

Refund Section,  
Accounting Division, Office of  
Finance

REQUEST FOR PARTIAL REFUND PURSUANT TO 37 CFR 1.28(a)

Mail Stop 16  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant requests a partial refund of the filing fee previously paid in the application identified above pursuant to 37 CFR 1.28(a). The Applicant paid a filing fee of \$1084.00 and respectfully requests a partial refund in the amount of (\$522.00). The Verified Statement filed herewith establishes status as a small entity.

Respectfully submitted,  
*Russell A. Korn*  
Russell A. Korn  
Reg. No. 54,236

US  
PATENT & TRADEMARK  
OFFICE

OFFICE OF FINANCE  
REFUND SECTION

SUTHERLAND ASBILL & BRENNAN LLP  
999 Peachtree Street, NE  
Atlanta, Georgia 30309-3996  
Telephone: (404) 853-8000  
Facsimile: (404) 853-8806

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 16, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 14, 2003  
*Russell A. Korn*  
Russell A. Korn - Reg. No. 54,236



PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	3	Application Number	10/603,039
		Filing Date	June 24, 2003
		First Named Inventor	Tim C. Lieuwen
		Art Unit	Not Assigned
		Examiner Name	Not Assigned
		Attorney Docket Number	17625.0045

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Verified Statement Claiming Small Entity
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Russell A. Korn, Reg. No. 54,236 Sutherland, Asbill & Brennan LLP 999 Peachtree St., NE, Atlanta, GA 30309
Signature	
Date	August 14, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 14, 2003

Typed or printed	Russell A. Korn
Signature	
Date	August 14, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tim C. Lieuwen, et al.  
Application Serial No.: 10/603,039  
Filed: June 24, 2003  
For: Systems & Methods for Detection of  
Blowout Precursors in Combustors



Attorney Docket No. 17625.0045

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.27 (c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

the owner of the small business concern identified below:  
 a registered practitioner of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Georgia Tech Research Corporation

ADDRESS OF CONCERN: Georgia Institute of Technology  
Atlanta, GA 30332-0415

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.27(a)(3) and 13 CFR 121, for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the concern is a non-profit organization.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention entitled "Systems & Methods for Detection of Blowout Precursors in Combustors" by inventor Tim C. Lieuwen, et al. described in

the specification filed herewith  
 Application No. 10/603,039 filed June 24, 2003.  
 patent No. \_\_\_\_\_, issued \_\_\_\_\_

The rights held by the above identified small business concern are exclusive.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Russell A. Korn

TITLE OF PERSON OTHER THAN OWNER: Registered Practitioner

ADDRESS OF PERSON SIGNING: Sutherland Asbill & Brennan, LLP, 999 Peachtree St. NE, Atlanta, GA 30309

DATE 8/14/2003

SIGNATURE Russell A. Korn